



POLICE AND CRIME COMMISSIONER FOR CLEVELAND

Follow Up of Previous Internal Audit Management Actions

Final Internal Audit Report: 15.25/26

6 May 2026

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AUDIT OUTCOME OVERVIEW

The overview of our findings is detailed below.

Background: We have undertaken a review to follow up on progress made to implement the previously agreed management actions from the following audits:

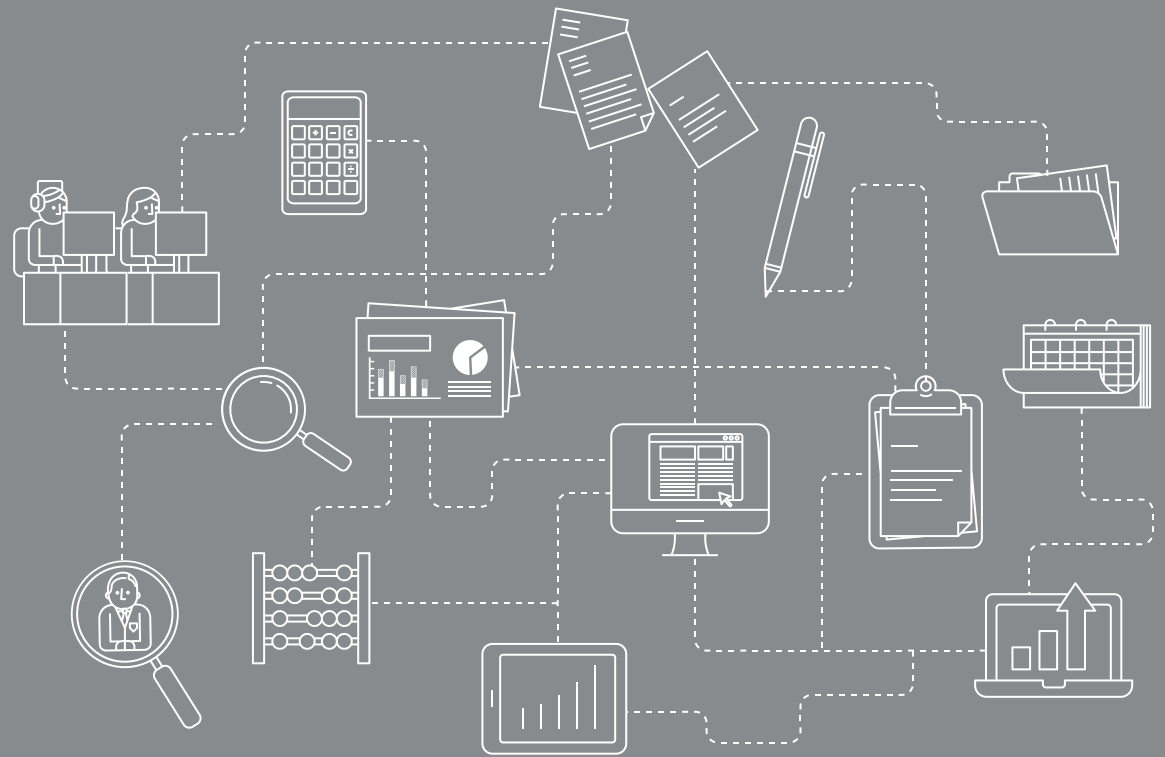
- Firearms Licensing (4.25/26)
- Vulnerability (2.25/26)
- Key Financial Controls – Procurement (3.25/26)
- Select Key IT Security Controls (14.23/24)
- Seized Exhibits revisit (8.24/25)
- HR: Wellbeing Framework - Medical Retirement (10.24/25)
- Business Continuity Planning (4.24/25)
- Data Quality (11.24/25)

We have considered a total of 9 actions from the above reports, comprised of four low priority, three medium priority and two high priority actions. Taking account of the issues identified in the remainder of the report and in line with our definitions set out in Appendix A, in our opinion the Force has demonstrated **good progress** in implementing agreed management actions.

Of the actions considered eight actions have been fully implemented and one is partly though not yet fully implemented (a medium priority action, which has been re-categorised as low given the progress made to date). We have provided an opinion of good progress, based on the definitions set out in Appendix A. Details of the implemented actions can be found under Appendix B of this report.

Summary of Action Status

01



SUMMARY OF PROGRESS ON ACTIONS

The following table includes details of the status of each management action:

Implementation status by review	Number of actions agreed	Implemented	Partly implemented	Not implemented	Superseded
Firearms Licensing (04.25/26)	1	1	0	0	0
Vulnerability (02.25/26)	1	1	0	0	0
Key Financial Controls – Procurement (03.25/26)	1	1	0	0	0
Select Key IT Security Controls (14.23/24)	1	1	0	0	0
Seized Exhibits revisit (08.24/25)	1	0	1	0	0
HR: Wellbeing Framework / Medical Retirement (10.24/25)	1	1	0	0	0
Business Continuity Planning (04.24/25)	1	1	0	0	0
Data Quality (11.24/25)	2	2	0	0	0
Total	9 (100%)	8 (89%)	1 (11%)	0 (0%)	0 (0%)

Detailed Findings and Actions

02



FINDINGS AND MANAGEMENT ACTIONS

Status	Detail
1	The entire action has been fully implemented.
2	The action has been partly though not yet fully implemented.
3	The action has not been implemented.
4	The action has been superseded and is no longer applicable.
5	The action is not yet due.

Seized Exhibits Revisit (08.24/25)

Original management action The missing bladed article will be located and reported to the Force Property Management Group.

Original Priority	Medium	Original Implementation Date	30 May 2025
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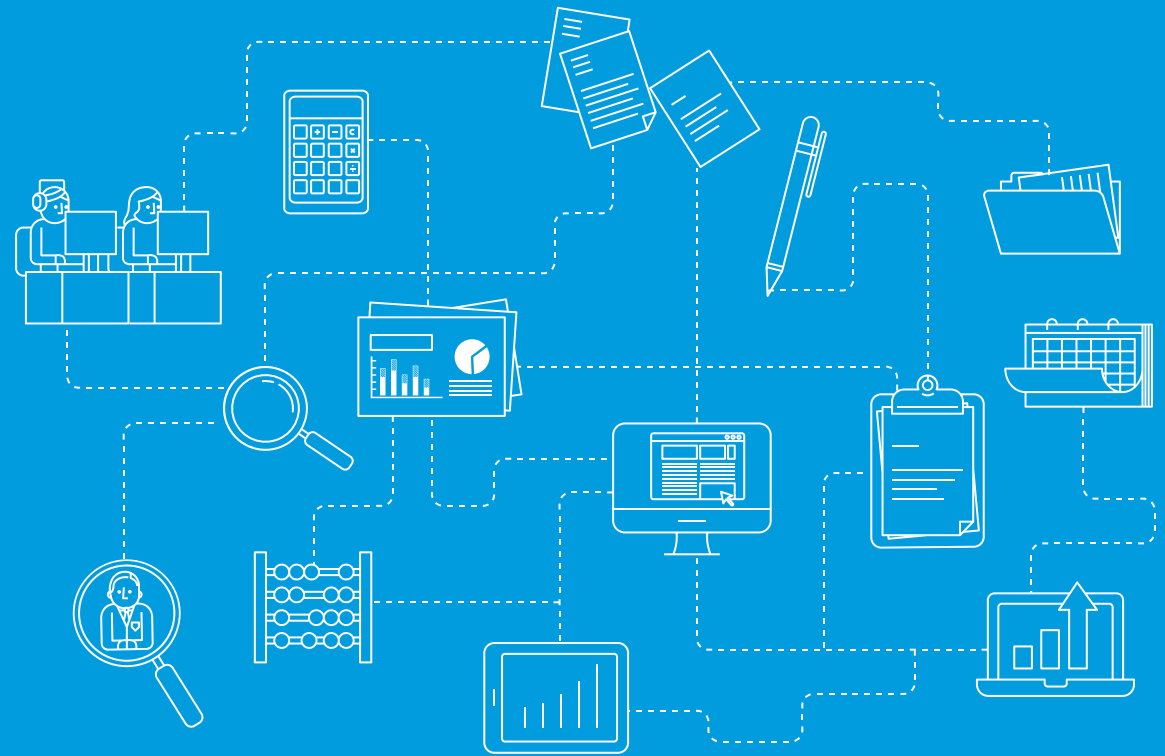
Findings summary We reviewed the 28-day letter request which had been sent to the owners by the Officer in the case to confirm that it had been sent requesting that the property is collected within 28 days, otherwise it will be disposed of. It appears that there was no response within the 28 days and as a result, therefore the weapon would have been disposed of at the time. We were informed that it is likely that the manual administration of disposing of the item on Niche, had been missed on this occasion.

Incomplete or inaccurate property records may lead to gaps in evidential documentation, reduced ability to demonstrate compliance with force requirements and reputational or legal challenges where disposal history is required.

Status	2. The action has been partly though not yet fully implemented.			
Management Action	Management should strengthen controls to ensure all disposals are recorded on Niche promptly and accurately, such as: - The Force Property Lead / Property Group will review the process for disposal and recording of disposed items on Niche. - Produce and disseminate refresher guidance to officers / staff on completing disposal administration. - Conduct risk-based audit of disposed items.	Responsible Owner: Custody and Criminal Justice Superintendent	Date: 31 July 2026	Priority: Low

Appendices

03



APPENDIX A: DEFINITIONS FOR PROGRESS MADE

The following opinions are given on the progress made in implementing actions. This opinion relates solely to the implementation of those actions followed up and does not reflect an opinion on the entire control environment.

Progress in implementing actions	Overall number of actions fully implemented	Consideration of high priority actions	Consideration of medium priority actions	Consideration of low priority actions
Good	75% +	None outstanding.	None outstanding.	All low actions outstanding are in the process of being implemented.
Reasonable	51 – 75%	None outstanding.	75% of medium actions made are in the process of being implemented.	75% of low actions made are in the process of being implemented.
Little	30 – 50%	All high actions outstanding are in the process of being implemented.	50% of medium actions made are in the process of being implemented.	50% of low actions made are in the process of being implemented.
Poor	< 30%	Unsatisfactory progress has been made to implement high priority actions.	Unsatisfactory progress has been made to implement medium actions.	Unsatisfactory progress has been made to implement low actions.

APPENDIX B: ACTIONS COMPLETED

From the testing conducted during this review we have found the following actions to have been fully implemented.

Assignment title	Management actions
Firearms Licensing (4.25/26)	Status: Implemented The 11% of applications received in the past 12 months that are still in consideration will be reviewed to identify the reasons for delay and necessary action taken to address the delay. Priority: Medium
Vulnerability (02.25/26)	Status: Implemented The Force will urgently strengthen the functionality and accuracy regarding Power BI dashboards with respect to recording any linked children to a domestic abuse suspect and not just a victim. Priority: High
Key Financial Controls – Procurement (03.25/26)	Status: Implemented The Force will conclude the review of roles and responsibilities, and ensure that these are clear, there is no duplication, and staff are suitably trained and experienced to undertake their proposed duties. Priority: Low
Select Key IT Security Controls (08.24/25)	Status: Implemented Management will include target due dates for mitigation actions within the Information Security risk register. Priority: Low
HR: Wellbeing Framework - Medical Retirement (10.24/25)	Status: Implemented The Blue Light Framework (BLF) Action Plan will be reviewed and updated to ensure it accurately reflects the Force's current position. As part of this review, consideration will be made as to the format of the Action Plan to ensure a consistent format is used for each section. Priority: Low
Business Continuity Planning (04.24/25)	Status: Implemented The Force will ensure that a full risk-based testing cycle priority list has been completed, including an analysis of all departments. This will include testing cycles for the departments listed and be approved and published on the Force's SharePoint system and shared with Department Heads. Priority: Medium

Assignment title	Management actions
Data Quality (11.24/25)	Status: Implemented The Niche Data Quality Standards - Person Records document will be uploaded to the intranet and communicated to all relevant staff and officers. Priority: Low Status: Implemented A full data standards document will be created setting out the minimum data requirements for all record types. Once created and approved, this will be communicated to all relevant staff and officers. Priority: High

Debrief held
Draft report issued
Responses received

15 April 2026
16 April 2026
6 May 2026

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Final report issued

6 May 2026

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